

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 20750-048US1	Application No. 10/576,849
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Brian Smith, et al.		
		Filing Date April 9, 2007	Group Art Unit	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A1	US 3652543	3/28/72	Hoegerle			
	A2	US 3716639	2/13/73	Hoegerle et al.			
	A3	US 3795683	3/05/74	Brossi et al.			
	A4	US 4108989	8/22/78	Holden			
	A5	US 4111957	9/05/78	Holden et al.			
	A6	US 4210749	7/01/80	Shetty			
	A7	US 4210729	7/01/80	Bola V. Shetty			
	A8	US 4233217	11/11/80	Shetty			
	A9	US 4477378	10/16/84	Gold et al.			
	A10	US 5387685	2/07/85	Powell et al.			
	A11	US 4762845	8/09/88	Chu et al.			
	A12	US 4988690	1/29/91	Effland et al.			
	A13	US 5015639	5/14/91	Berger et al.			
	A14	US 5178786	1/12/93	Jahnke et al.			
	A15	US 5387685	7/16/93	Powell et al.			
	A16	US 5275915	1/04/94	Kojima et al.			
	A17	US 5412119	5/02/95	Brussee et al.			
	A18	US 5422355	6/06/95	White et al.			
	A19	US 5691362	11/25/97	McCormick et al.			
	A20	US 5750520	5/12/98	Danilewicz et al.			
	A21	US 5856503	1/05/99	Aebi et al.			
	A22	US 5861393	1/19/99	Danilewicz et al.			
	A23	US 5925651	7/20/99	Hutchinson			
	A24	US 5939415	8/17/99	Laufer et al.			
	A25	US 5942535	8/24/99	Laufer et al.			
	A26	US 5958943	9/28/99	Laufer et al.			
	A27	US 6087346	7/11/00	Glennon et al.			

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	A28	US 6218385	4/17/01	Adam et al.			
	A29	US 6218385	4/17/01	Geo Adam et al.			
	A30	US 6900313	5/31/05	Wasserscheid et al.			
	A31	US 6953787	10/11/05	Smith et al.			
	A32	US 6972295	12/06/05	Hagmann et al.			
	A33	2007/0275949	11/29/07	Smith et al.			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes <input type="checkbox"/> No <input type="checkbox"/>
	A34	DE 1944121	3/19/70	Germany			
	A35	CH 500194	1/29/71	Switzerland			
	A36	GB 1221324	2/03/71	United Kingdom			
	A37	GB 1225053	3/17/71	United Kingdom			
	A38	GB 1268243	3/22/72	United Kingdom			
	A39	NL 7807819	7/21/78	Netherlands			
	A40	EP 0007070	1/23/80	Europe			
	A41	CA 1090797	12/02/80	Canada			
	A42	GB 1599705	10/07/81	United Kingdom			
	A43	EP 0080779 A1	6/08/83	Europe			
	A44	DE 3315106 A1	11/03/83	Germany			
	A45	EP 0096838 A1	12/28/83	Europe			
	A46	EP 0161350 A1	11/21/85	Europe			
	A47	EP 0174118 A2	3/12/86	Europe			
	A48	EP 0285287 A3	3/23/87	Europe			
	A49	EP 0285919	10/12/88	Europe			
	A50	WO 8807858	10/20/88	WIPO			

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							Yes No
	A51	EP 0331130	09/06/89	Europe			
	A52	WO 9119698	12/26/91	WIPO			
	A53	WO 9300094	1/07/93	WIPO			
	A54	WO 93/03015	2/18/93	WIPO			
	A55	JP 5339263	12/21/93	Japan			
	A56	JP 06298746	10/25/94	Japan			
	A57	WO 9513274	5/18/95	WIPO			
	A58	CA 2197789	8/16/95	Canada			
	A59	WO 9604271	2/15/96	WIPO			
	A60	WO 9605194	2/22/96	WIPO			
	A61	JP 08134048	5/28/96	Japan			
	A62	WO 9633993	10/31/96	WIPO			
	A63	JP 09030960	2/04/97	Japan			
	A64	JP 90987258	3/31/97	Japan			
	A65	WO 97/24364	7/10/97	WIPO			
	A66	WO 9806701	2/19/98	WIPO			
	A67	WO 9840385	9/17/98	WIPO			
	A68	WO 99/24411	5/20/99	WIPO			
	A69	EP 0987235 A1	3/22/00	Europe			
	A70	EP 1074549 A2	2/07/01	Europe			
	A71	WO 0240471	5/23/02	WIPO			
	A72	WO 0248124	6/20/02	WIPO			
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	A74	WO 03000663	1/03/03	WIPO			
	A75	WO 2003/027068	4/03/03	WIPO			
	A76	WO 03/062205	7/31/03	WIPO			
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							Yes No
	A78	WO 03086306	10/23/03	WIPO			
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	A80	WO 04037788	5/06/04	WIPO			
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	A82	WO2005/019179A2	03/03/2005	PCT			
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	A86	WO2006/069363A2	06/29/06	WIPO			
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	A89	Chahal et al., IDdb Meeting Report 2000, May 17-18
	A90	Chang et al., "Dopamine receptor binding properties of some 2, 3, 4, 5-tetrahydro-1H-3-benzazapine-7-ols with non-aromatic substituents in the 5-position", <i>Bioorg. Med. Chem. Lett.</i> 2:399-402 (1992)
	A91	Deadly et al., "Synthesis of some tetrahydro-2-and 3-benzazepines, and of hexahydro-3-benzazocine", <i>Journal of the Chemical Society, Perkins Transactions 1</i> , 1973 pp. 782-783
	A92	Di Chiara G., (2002) <i>Behavioural Brain Research</i> , 137: 75-114
	A93	Di Chiara et al., "Reward System and Addiction: What Dopamine Does and Doesn't Do", <i>Current Opinion in Pharmacology</i> 7:69-76 (2007)
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	A95	Di Matteo et al., "Role of 5-HT _{2C} Receptors in the Control of Central Dopamine Function", <i>Trends in Pharmacological Sciences</i> 22(5):229-232 (2001)
	A96	Flannery-Schroeder, E. C., "Reducing Anxiety to Prevent Depression", <i>Am. J. Prev. Med.</i> 31(6S1):S136-S142 (2006)
	A97	Fuchs et al., "Total synthesis of (+/-)-lennoxamine and (+/-)-aphanorphine by intramolecular electrophilic aromatic substitution reactions of 2-amidoacroleins", <i>Organic Letters</i> , 2001, pp. 3923-3925, 3(24) American Chemical Society
	A98	Gallant et al., (1967) <i>Current Therapy Research</i> 9(11):579-81

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	A99	Gardent et al., "Sur quelques de l'amino-2-bromo-4 1H benzazepine-3 et de ses derives", Bull Soc. Chim. France 2:600-605 (1968)
	A100	Gerace et al., "Predictors of Weight Increases over 7 Years in Fire Fighters and Paramedics", <i>Preventive Medicine</i> 94:593-600 (1996)
	A101	Gobert et al., (2000) <i>Synapse</i> 36: 205-221
	A102	Gombar et al., "Pharmacokinetics of a series of 6-chloro-2, 3, 4, 5-tetrahydro-3-substituted-1H-3-benzazepines in rats", <i>Drug Metab. Disposition</i> 16:367-372 (1988)
	A103	Greene et al., Protective Groups in Organic Syntheses, 2 nd Ed., Wiley and Sons, NY (1991)*
	A104	Halford et al., "Serotonergic Drugs: Effects on Appetite Expression and Use for the Treatment of Obesity", <i>Drugs</i> 67(1):27-55 (2007)
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	A106	Hassine-Coniac et al., "Preparation et properites d'aldehydes dans la serie de la benzazepine-3", Bull Soc. Chim. France 11:3985-3992 (1971)
	A107	Hazebroucq, "Acces a des 1-H, tetrahydro-2, 3, 4, 5 benzazepines-3 one-1 et a des hexahydro imidazo isoquinoleines", Ann. Chim. (1966) pp. 221-254
	A108	Hester et al., (1968) <i>J. Med. Chem.</i> 11(1): 101
	A109	Higgins et al., (2003) <i>European Journal of Pharmacology</i> , 480: 151
	A110	Hitzig, P., (1994) <i>Journal of Substance Abuse Treatment</i> , 11(5): 489
	A111	Im et al., (2003) <i>Molecular Pharmacology</i> , 64: 78-84
	A112	Jandacek, R.J., "APD-356 (Arena)", <i>Current Opinion in Investigational Drugs</i> 6(10):1051-1056 (2005)
	A113	Jenck et al., (1998) <i>European Neuropsychopharmacology</i> 8: 161
	A114	Jensen et al., "Potential Role of New Therapies in Modifying Cardiovascular Risk in Overweight Patients with Metabolic Risk Facts", <i>Obesity</i> 14 (Suppl. 3):143S-149S (2006)
	A115	Karasu et al., (2000) Practice Guideline for the Treatment of Patients with Major Depressive Disorder
	A116	Koplan et al., "Preventing Childhood Obesity: Health in the Balance, Executive Summary", http://www.nap.edu/catalog/11015.html , 41 pages (2005)
	A117	Krull, O. and Wuncsh, B., "Synthesis and Structure/NMDA Receptor Affinity Relationships of 1-Substituted Tetrahydro-3-benzazepines", <i>Bioorganic and Medicinal Chemistry</i> , 12,pp. 1439-1451, 2004.
	A118	Ladd et al., "Synthesis and dopaminergic binding of 2-aryldopamine analogues: phenethylamines, 2-benzazepines, and 9-(Aminomethyl) fluorenes", <i>J. Med. Chem.</i> , Vol. 29:1904-1912 (1986)
	A119	Lam RW, Levitt AJ (1999) (eds) Canadian Consensus Guidelines for the Treatment of Seasonal Affective Disorder, Clinical & Academic Publishing, Vancouver, BC, Canada
	A120	Lennon et al., "Azabenzocycloheptenones Part XVIII. Amines and amino-ketones of the tetrahydro-3-benzazepine-1-one series", <i>J. Chem. Soc. Perkin Transacts.</i> (1975) 7:622-626
	A121	Linda D. Williams, Chemistry Demystified 123 (2003)

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	A122	Macdonald et al., "Design and synthesis of trans-3-(2-(4-((3-(3-(5-methyl-1,2,4-oxadiazolyl))-phenyl)carboxamido)cyclohexyl)ethyl)-7-methylsulfonyl-2,3,4,5-tetrahydro-1H-3-benzazepine (SB-414796): A Potent and selective dopamine D _{sub.3} Receptor Antagonist", <i>J. Med. Chem.</i> 46:4952-4964 (2003) American Chemical Society
	A123	Moline et al., (2001) Expert Consensus Guideline Series – Treatment of Depression in Women 2001, March: 112-113
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	A126	Navarro-Vazquez et al., "A study of aryl radical cyclization in enaminone esters", <i>J. Org. Chem.</i> 67:3213-20 (2002)
	A127	Niendam et al., "Neurocognitive Performance and Functional Disability in the Psychosis Prodrome", <i>Schizophrenia Research</i> 84:100-111 (2006)
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	A130	Orito et al., "Total synthesis of pseudo type of protopine alkaloids", <i>Heterocycles</i> 14(1) 1980
	A131	Pauvert et al., "Silver nitrate-promoted ring enlargement of 1-tribromomethyl-1,2-dihydro-and 1-tribromomethyl-1,2,3,4-tetrahydro-isoquinoline derivatives: application to the synthesis of the anti-anginal zalebradine", <i>Tetrahedron Letters</i> 44:4203-4206 (2003) Pergamon Press Ltd.
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	A133	Pecherer et al., "The Synthesis of Some 7- and 7,8-substituted 2,3,4,5-tetrahydro-1H-3benzazepines", <i>J. Heterocyclic Chemistry</i> 8(5):779-783 (1971)
	A134	Perry et al., "Prospective study of risk factors for development of non-insulin dependent diabetes in middle aged British men", <i>BMJ</i> (1995) 310:560-564
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	A136	Porras et al., (2002) <i>Neuropsychopharmacology</i> 26: 311-324
	A137	Prous Science Integrity entry 156186
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	A139	Rothman R.B., (1995) <i>Journal of Substance Abuse Treatment</i> , 12(6): 449
	A140	Schlade man et al., "Synthesis of oxo- and 1-hydroxy-azobenzocycloalkanes", <i>J. Chem. Soc. Perkin Transacts.</i> (1972) 2:213-215
	A141	Schaffner et al., "Preventing Severe Mental Illnesses – New Prospects and Ethical Challenges", <i>Schizophrenia Research</i> 51:3-15 (2001)
	A142	Smith et al., "Discovery and SAR of New Benzazepines as Potent and Selective 5-HT _{2C} Receptor Agonists for the Treatment of Obesity", <i>Bioorganic & Medicinal Chemistry Letters</i> 15(5):1467-1470 (2005)

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	A143	Smith, B. M. et al., "Discovery and Structure – Activity Relationship of (1R)-8-Chloro-2,3,4,5-tetrahydro-1-methyl-1H-3-benzazepine (Lorcaserin), a Selective Serotonin 5-HT _{2c} Receptor Agonist for the Treatment of Obesity", [retrieved on 12/21/07]. Retrieved from the Internet. <URL: http://pubs.acs.org/journals/jmcmar/index.html >.
	A144	Tietz et al., "Efficient synthesis of 2, 3, 4, 5-tetrahydro-1H-3-benzazepines by intramolecular Heck reaction", <i>Synthesis</i> (1993) 9:876-880
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	A146	Tsuang et al., "Towards the Prevention of Schizophrenia", <i>Biol. Psychiatry</i> 48:349-356 (2000)
	A147	Vink et al., "Risk Factors for Anxiety and Depression in the Elderly: A Review", <i>J. Affect. Disord.</i> , doi:10.1016/j.jad.2007.06.005, 16 pages (2007)
	A148	Wise, R.A., (2000) <i>Neuron</i> , 26: 27-33
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